## **BARNES & THORNBURG LLP**

11 South Meridian Street Indianapolis, Indiana 46204

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.:	23643	}
Group:		}
Confirmation No.:	8735	}
Application No.:	10/582,811	}
Invention:	COMPOSITION AND METHOD FOR INHIBITING SALMONELLA AND CAMPYLOBACTER COLONIZATION IN POULTRY	Filed Electronically on May, 2007
First-Named Applicant:	Michael P. DOYLE	} }
Filed:	June 14, 2006	}
Attorney Docket:	31725-200230	}
Examiner:	Unknown	} }

International Serial No.: PCT/US2004/039142 International Filing Date: 22 November 2004

# REQUEST FOR CORRECTED FILING RECEIPT

BOX Office of Initial Patent Examination's Filing Receipt Corrections Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Filing Receipt mailed March 21, 2007, a marked-up copy of the Filing Receipt for the above-identified application is attached with the requested changes.

The filing receipt indicates that the "Assignment for Published Patent Application" is "UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC., Atlanta, GA."

Applicants wish to inform, that the correct Assignee should be listed as UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC., Athens, GA. A corrected assignment was recorded May 3, 2007, reel/frame no.: 019243/0215. Accordingly, applicants respectfully request that a the Corrected Filing Receipt be issued with the city of the assignees corrected. Applicants also request that all records be corrected to indicate the above corrections and that the application be published with the correct assignee city. Also enclosed is a corrected Power of Attorney listing the correct city as Athens, GA.

Applicants believe no fee is due for this Request. If it is determined that a fee is properly required, the Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 10-0435, referencing attorney docket no. 31725-200230.

Respectfully submitted,

Bradford G. ADDISON, Attorney Reg. No. 41486

BGA/ezc/889490 Indianapolis, IN (317) 236-1313

Attachments:

- 1. Copy of Filing Receipt showing requested corrections
- 2. Copy of the Corrective Assignment Recorded May 3, 2007
- 3. Power of Attorney to Prosecute Applications Before The USPTO Executed by UNIVERSITY OF GEORGIA RESEARCH

FOUNDATION, INC.

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#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandria, Viginia 22313-1450

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/582,811	06/14/2006	1651	550	31725-200230	22	5

**CONFIRMATION NO. 8735** 

23643 BARNES & THORNBURG LLP 11 SOUTH MERIDIAN INDIANAPOLIS, IN 46204

RECEIVED

MAR 23 2007

FILING RECEIPT
\*OC0000000022961336\*

BARNES & THORNBURG LLP

Date Mailed: 03/21/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael P. Doyle, Peachtree City, GA; Guodong Zhang, Peachtree City, GA; Li Ma, Peachtree City, GA;

**Assignment For Published Patent Application** 

UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC., ATLANTA, GA

Power of Attorney: The patent practitioners associated with Customer Number 23643.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/39142 11/22/2004 which claims benefit of 60/530,029 12/16/2003

Foreign Applications

If Required, Foreign Filing License Granted: 03/16/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/582,811

Projected Publication Date: 06/28/2007

Non-Publication Request: No

HB3/28

#### Early Publication Request: No

Title

Composition and method for inhibiting salmonella and campylobacter colonization in poultry

#### **Preliminary Class**

424

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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TO:BARNES & THORNBURG LLP ERNEST A CARLSON COMPANY:11 SOUTH MERIDIAN STREET



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 03, 2007

PTAS

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BARNES & THORNBURG LLP ERNEST A CARLSON 11 SOUTH MERIDIAN STREET INDIANAPOLIS, IN 46204

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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/03/2007

REEL/FRAME: 019243/0215 NUMBER OF PAGES: 7

BRIEF:

CORRECTIVE ASSIGNMENT TO CORRECT THE AGENT REQUESTS THE CORRECTION OF THE CITY OF THE ASSIGNEE TO: ATHENS, GEORGIA 30602-7411 PREVIOUSLY RECORDED ON REEL 017652 FRAME 0338. ASSIGNOR(S) HEREBY CONFIRMS THE ASSIGNMENT OF ASSIGNOR'S

INTEREST.

DOCKET NUMBER: 31725-200230

ASSIGNOR:

DOYLE, MICHAEL P.

DOC DATE: 11/17/2004

ASSIGNOR:

ZHANG, GUODONG

DOC DATE: 11/17/2004

ASSIGNOR:

LI, MA

DOC DATE: 11/17/2004

TO:BARNES & THORNBURG LLP ERNEST A CARLSON COMPANY:11 SOUTH MERIDIAN STREET

019243/0215 PAGE 2

ASSIGNEE:

UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC. 624 BOYD GRADUATE STUDIES RESEARCH CENTER ATHENS, GEORGIA 30602-7411

SERIAL NUMBER: 10582811

FILING DATE: 06/14/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: COMPOSITION AND METHOD FOR INHIBITING SALMONELLA AND CAMPYLOBACTER

COLONIZATION IN POULTRY

KIMBERLY WHITE, EXAMINER ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION 5/3/2007 11:21:39 PM PAGE 4/005 Fax Server

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#### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1 05/03/2007 500269799

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT		
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Agent requests the correction of the city of the assignee to: Athens, Georgia 30602-7411 previously recorded on Reel 017652 Frame 0338. Assignor(s) hereby confirms the Assignment of Assignor's Interest.		

#### **CONVEYING PARTY DATA**

Name	Execution Date			
Michael P. DOYLE	11/17/2004			
Guodong ZHANG	11/17/2004			
Ma LI	11/17/2004			

#### RECEIVING PARTY DATA

Name:	UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC.
Street Address:	624 Boyd Graduate Studies Research Center
City:	Athens
State/Country:	GEORGIA
Postal Code:	30602-7411

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10582811

#### **CORRESPONDENCE DATA**

Fax Number:

(317)231-7433

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: Email:

317-236-1313

ecarison@btlaw.com

Correspondent Name:

Barnes & Thornburg LLP Ernest A Carlson

Address Line 1:

11 South Meridian Street

Address Line 4:

Indianapolis, INDIANA 46204

ATTORNEY DOCKET NUMBER: 31725-200230

NAME OF SUBMITTER:

Bradford G. ADDISON

USPTO

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# PROVISIONAL/PCT PATENT APPLICATION ASSIGNMENT TO BUSINESS CONCERN

Attorney Docket No.: 31725-73885 and 31725-76201

Client Reference No.: UGARF-1083

#### ASSIGNMENT

Name(s) Michael P. DOYLE						
	Michael P. DOYLE					
of Inventor(s) Guodong ZHANG						
	, and					
Li MA						
Check applicable maker(s) of an invention which is the subject of a 🗵 United States Provisional Patent Application boxes and enter identified by Serial No. 60/530,029 and filing date 16 December 2003 serial number and a PCT International Application entitled: date if available						
Title of COMPOSITION AND METHOD FOR INHIBITING SALMONELLA Application AND CAMPYLOBACTER COLONIZATION IN POULTRY hereinafter (the "Application(s)")						
the undersigned hereby sell(s), assign(s), and set(s) over to						
Name of <u>UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC.</u> Assignee						
Address of 624 Boyd Graduate Studies Research Center						
principal place of Athens, GA 30602-7411 business						
Insert State of Incorporation a corporation of Georgia (if applicable) or						

(hereinafter designated as the Assignee) their entire right, title and interest in, to and under the Application(s), including all priority rights for the United States and other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries, including Canada and Mexico, which may be granted for such inventions, or any of them, all such inventions and all rights in such Application(s) and Letters Patent to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the Application(s) in the United States and counterpart applications in foreign countries and any continuing, divisional, or reissue applications thereof, and any reexamination of any of such Applications, and also to execute separate assignments in connection with such Applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared or litigation concerning the Application(s), U.S. national counterparts thereof, or continuation(s), division(s), reissue(s), reexamination(s) thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or litigation.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

# PROVISIONAL/PCT PATENT APPLICATION ASSIGNMENT TO BUSINESS CONCERN

The undersigned agree(s) to do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States and in all other countries where Assignee may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting or following from said Application(s) or any division or divisions or continuing or reissue applications thereof, and any reexamination of any of such applications, to the said Assignee, as Assignee of the entire interest, and hereby covenants that the undersigned has full right to convey the interest herein assigned, and that the undersigned has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITH	ESS WHEREOF, I have executed this as:	signment at Griffing Georgia
	lay of /VOVEMBEC	- 2004 Awcharl tale
Outside the USA: _ Witnesses are required when	Witness	Inventor (Signature)
acknowledgment before a Notary _		Michael P. DOYLE
Public is not feasible.	Witness	Inventor (Printed Name)
	ACKN	OWLEDGMENT
STATE OF GEO		
Acknowled	<b>J</b>	and for said County and State. Witness my hand and Notarial Seal
		Ann Jautry Notary Public
		Ann J. Autry Printed Name
My Commission Ex	pires: <u>Soph 16, 2005</u>	Resident of Spalding County

IN WITNESS WHEREOF, I have executed this	assignment at Griffin, GA
this 177 day of November	, 2004
Outside the USA:	Jhan Guaden
Witnesses are Witness required when acknowledgment before a Notary	Inventor (Signature)  Guodong ZHANG
Public is not Witness feasible.	Inventor (Printed Name)
ACK	NOWLEDGMENT
STATE OF }	
COUNTY OF } SS:	
Acknowledged before me, a Notary Public, withithis 1750 day of November	n and for said County and State. Witness my hand and Notarial Seal, 2004
	Notary Public (
	Ann J. Autry Printed Name
N 1 14. 12	
My Commission Expires: Sept 16th, 2005	Resident of Spalding County
~	ಗಳು ಕೊಳ್ಳು ಕ ಆರ್ಥ ಕೊಳ್ಳು
IN WITNESS WHEREOF, I have executed this a this day of November	assignment at <u>Griffin</u> , GA
Outside the USA:	Jha.
Witnesses are Witness required when	Inventor (Signature)
acknowledgment before a Notary	Li MA
Public is not Witness feasible.	Inventor (Printed Name)
ACKI	NOWLEDGMENT
STATE OF Georgia }	
STATE OF Georgia } COUNTY OF Spalding } SS:	
	and for said County and State. Witness my hand and Notarial Seal
	Notary Public Queltry
,	Ann J. Autry
, a	Printed Name 0
My Commission Expires: 16, 2005 PCT APPLICATION SERIAL NO. PCT	Resident of <u>Spalding</u> County / US2004/039142
Internationa L Filing Date: Nov	vember 22, 2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

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I hereby 37 CFR		vious powers of attorney	given in	the a	pplication identified in	the attac	hed statemer	nt under
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Practitioners associated with the Customer					23643			
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А сору о	f this form,	together with a state	ment un	der	37 CFR 3.73(b) (For	m PTO/S	SB/96 or eq	uivalent) is
be comple	eted bv one o	each application in whof the practitioners applications applications and must identifiers.	pointed i	in thi	is form if the appoin	ted prac	titioner is au	thorized to
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	The individua	al whose signature and title				on behalf	of the assignee	;
Signature		1 2mg 1	-	-		Date	4/26	/2007
Name	David Lee					Telepho		
Title	Executive Vice	e President						

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.